

Fig. 14. The dependence of the volume deformation potential  $\partial(L_1-L_3^u)/\partial e$  on the strain coefficients of  $b_d$  and  $H_{\varphi d}$  plotted in a way similar to that of Fig. 13. In addition, the dependence on the volume coefficient of  $E_d$  is given [dashed curve in part (b) of the figure].

distance)

$$\frac{\partial H_{dd}}{\partial e_{yz}} = -\left[12\sigma - 8\pi + 4\delta + R\partial(3\sigma + 4\pi + 5\delta)/\partial R\right], \quad (17)$$

The strain dependence of  $V_{111}$  is calculated using a simple model potential.<sup>40</sup> It is constructed from a bare ion potential which is zero inside the core region  $(r < r_c)$  and equal to the Coulomb potential of a single positive charge outside (-2/r) in atomic units). Its Fourier transform is divided by  $\epsilon_q$ , the static Hartree dielectric function for free electrons,<sup>41</sup> to give the form factor

$$V_q = -8(\cos q r_c)/(\epsilon_q \Omega q^2), \qquad (18)$$

where  $\Omega$  is the volume of the unit cell. The value of  $r_c$  (0.23 of the nearest-neighbor distance) is determined by  $V_{111}$  of Table V; it is regarded as a constant in calculating the strain coefficients of  $V_{111}$  from Eq. (18). The values of the overlap integral  $b_d$  for the deformed crystal are calculated in the same way as  $b_d$  for zero strain. All strain coefficients discussed above are listed in Table V.

The calculation of the deformation potentials requires additional knowledge, namely, the strain coefficients of  $H_{\varphi d}$ ,  $E_d$ , and  $E_F$ . The effect of pure trigonal shear strain will be considered first. In this case, there is no change of  $E_d$  and  $E_F$  linear in the strain components: The center of gravity of originally degenerate levels remains unchanged to first order; this causes  $E_d$  and  $E_F$  to be constant, too. As a consequence,

$$\partial (L_1 - E_F)/\partial e_{yz} = \partial L_1/\partial e_{yz}$$
. (19)

A rigorous calculation of  $\partial H_{\varphi d}/\partial e_{yz}$  would be even more difficult than the calculation of  $H_{\varphi d}$  itself. We therefore simply assume the relative change of  $b_d$  and  $H_{\varphi d}$  to

Table VII. Volume coefficients of the Fermi energy and of the position of the d bands.

| paper        | dHvA b               | DFJ  |
|--------------|----------------------|------|
| $-1.2\pm0.5$ |                      | -0.8 |
|              | -1.2±0.5<br>-1.1±0.3 |      |

 $<sup>^{</sup>a}\Gamma_{1}$  is the zero of energy and  $e = \Delta V/V$  is the relative change of the volume

be identical,

$$\partial (\ln H_{\varphi d})/\partial e_{yz} = \partial (\ln b_d)/\partial e_{yz}.$$
 (20)

Equation (20) completes the list of strain coefficients which are needed to calculate  $\partial L_1/\partial e_{vz}$ . Its numerical value (listed in Table VI) is 24% lower than the one determined from the experiments.

Figure 13 illustrates how the theoretical coefficient  $\partial L_1/\partial e_{yz}$  changes when changing the assumptions specified above. Figure 13(a) gives the dependence on  $\partial b_d/\partial e_{yz}$  assuming Eq. (20) to be valid. Figure 13(b) shows the variation with  $\partial (\ln H_{\varphi d})/\partial e_{yz}$  using  $\partial b_d/\partial e_{yz}$  = 0.73 as calculated from atomic d functions.<sup>37</sup>

Two volume deformation potentials  $\partial(E_F-L_3^u)/\partial e$  (determined from the edge at 2.1 eV) and  $\partial(L_1-E_F)/\partial e$  (from the edge at 4.3 eV) are used to calculate the volume coefficients of  $E_d$  and  $E_F$  relative to  $\Gamma_1$ . This can be done more accurately than the large experimental error of the  $L_1-E_F$  deformation potential might suggest. Recalling that the error is due to the uncertainty in the appropriate slope of  $\epsilon_2$ , we note that the relative deviation of the experimental value from the true value is approximately equal for the volume and the shear strain deformation potentials.

Summing the two experimental volume deformation potentials eliminates  $E_F$ ; the sum  $\partial (L_1 - L_3^u)/\partial c$  can be used to determine  $\partial (\ln E_d)/\partial c$ . In doing so, we always treat the normalization factor  $\Omega^{-1/2}$  of  $b_d$  and  $H_{\varphi d}$  explicitly and assume

$$\partial (\ln H_{\varphi d})/\partial k = \partial (\ln b_d)/\partial k$$
, (21)

which is the equivalent of Eq. (20). There are several choices on how to proceed. One possibility is to use the coefficient  $\partial(\ln b_d)/\partial k$  as calculated with atomic functions and a value for  $\partial(L_1-L_3^u)/\partial e$  which is  $2^{1/4}$  smaller than the experimental one. Another choice would be to increase  $\partial(\ln b_d)/\partial e_{yz}$  until the theoretical value of  $\partial L_1/\partial e_{yz}$  matches the experimental one [Fig. 13(a)], to increase  $\partial(\ln b_d)/\partial k$  by the same factor, and to use the experimental value of  $\partial(L_1-L_3^u)/\partial e$ . The volume coefficient of  $E_d$  turns out to be the same both cases, proving that it does not depend drastically on the strain coefficients of  $b_d$  and  $H_{\varphi d}$ . Its numerical value is listed in Table VII. In Fig. 14,  $\partial(L_1-L_3^u)/\partial k$  is plotted as a function of the strain coefficients of  $b_d$ 

<sup>40</sup> N. W. Ashcroft, Phys. Letters 23, 48 (1966).
41 W. A. Harrison, in Frontiers in Physics, edited by D. Pines
(W. A. Benjamin, Inc., New York, 1966), p. 49.

b Reference 50.
• Reference 43.